

Hypergraph covering and small gaps between primes

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Prime gaps and hypergraph covering

Goal: Cover $[0, y]$ with residue classes $a_p \pmod p$, $p \leq x$.

Q - a random set of primes in $[1, y]$, size $\gg \frac{y}{\log^2 y}$. (Stage 2)

\mathcal{P} - the set of primes in $(x/4, x/2]$

a_p - some residue class modulo p , for each $p \in \mathcal{P}$.

$e_p = Q \cap (a_p \pmod p)$; primes in Q that are $\equiv a_p \pmod p$

Goal: choose the a_p so that the sets e_p cover most of Q .

Hypergraph language: Q is the vertex set, e_p are hyperedges

Sieve input: whp, for most $p \in \mathcal{P}$, a_p exist so that $e_p \gg \log_2 y$.

Big question. Can one choose the a_p so that the e_p are both large and cover Q efficiently (allowing a larger choice for y)?

Hypergraph covering

$H = (V, E)$ - a hypergraph

V - finite set of vertices

E - collection of nonempty subsets of V (hyperedges)

A **covering** of H is a subset of E that covers all of V

A **packing (or matching)** is a subset of disjoint elements of E

A **perfect matching (packing)** is both a matching and a covering.

Problems: under what general conditions on H does their exist

- a perfect matching
- a $(1 - \varepsilon)$ -near perfect matching (a matching that covers all but at most $\varepsilon|V|$ vertices)
- a $(1 + \varepsilon)$ -efficient covering (a covering where at most $\varepsilon|V|$ vertices are covered twice)

Pippenger-Spencer

Pippenger-Spencer Theorem (1989). building on earlier work of Pippenger (unpublished) and Frankl-Rödl.

Three basic conditions on H :

- 1 **(l -uniformity)** $|e| = l$ for all $e \in E$, l fixed;
- 2 **(regularity)** $\forall v, w \in V$, $\deg(v) \sim \deg(w)$;
- 3 **(small codegrees)** $\forall v, w \in V$, $v \neq w$, $\text{codeg}(v, w) = o(\deg(v))$,
where $\text{codeg}(v, w) = |\{e \in E : v, w, \in e\}|$.

Here $o(1)$ means as $|V| \rightarrow \infty$, where we assume that the typical vertex degree is also $\rightarrow \infty$.

Conclusion: There is a $(1 - o(1))$ -near perfect matching of H .

An inefficient method of covering/matching, I

The naive method of choosing edges randomly and independently is very inefficient for producing (near) matchings/coverings. Why?

- 1 After relative few choices one encounters overlaps
- 2 After many choices the overlapped parts begin to dominate the non-overlapped parts
- 3 Even after a great number of choices, there is still a lot left uncovered

An inefficient method of covering/matching, II

Analysis of the random, uniform choice method:

Assumptions on H : $|E| = l$; $\deg(v) \sim d \ \forall v \in V$ (regularity)

A (near) perfect matching/covering will use about l/d edges

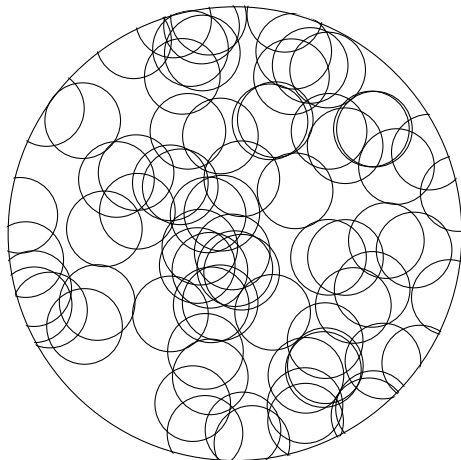
Suppose we have chosen $J = \lambda l/d$ edges e_1, \dots, e_J , $\lambda > 0$ fixed.
For any vertex v ,

$$\mathcal{P} \left(v \notin \bigcup_{j=1}^J e_j \right) = \prod_{j=1}^J (1 - \mathcal{P}(v \in e_j)) \approx \left(1 - \frac{d}{l} \right)^J \sim e^{-\lambda}.$$

Therefore, we expect about $e^{-\lambda}|V|$ **uncovered vertices**. That is, no matter how large we take λ , there is a lot left uncovered **and** what is covered is highly overlapped.

An inefficient method of covering/matching, III

Big circle = V ; small circles = hyperedges

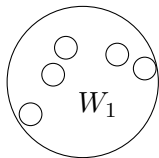


$\lambda = 0.1389\lambda = 0.2778\lambda = 0.4167\lambda = 0.5556\lambda = 0.6944\lambda =$
 $0.8333\lambda = 0.9722\lambda = 1.1111\lambda = 1.2500\lambda = 1.3889\lambda = 1.5278\lambda =$
 $1.6667\lambda = 1.8056\lambda = 1.9444\lambda = 2.0833$

Better method: The Rödl Nibble

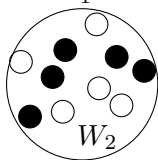
(Nibble # 1). Choose a small number, n_1 ($n_1 = o(l/d)$, say), of edges independently at random: e_1, \dots, e_{n_1} . With high probability, they are disjoint. Let

$$W_1 = V \setminus \{e_1 \cup \dots \cup e_{n_1}\}$$



(Nibble # 2). Choose a small number, n_2 , of edges at random, $e_{n_1+1}, \dots, e_{n_1+n_2}$, **but only from those edges** $\subset W_1$. Let

$$W_2 = W_1 \setminus \{e_{n_1+1} \cup \dots \cup e_{n_1+n_2}\}$$



Continue for k nibbles.

Relaxing the hypotheses

Pippenger-Spencer: WHP (with high probability), get a $(1 - o(1))$ -near perfect matching, assuming uniformity, regularity, small codegrees.

For our prime gap application, our hypergraph is much more irregular:

- The hyperedges have greatly varying sizes (but none are too big);
- The vertices have greatly varying degrees (but none are too large);

Our hypotheses

- 1 $|e| \leq r$ for all $e \in E$; (r need not be fixed)
- 2 $\forall v \in V, \deg(v) \leq d$;
- 3 $\forall v, w \in V, v \neq w, \text{codeg}(v, w) \leq \delta \deg(v)$ for some small δ .

Rödle nibble under relaxed hypotheses

Hyp: $|e| \leq r$; $\deg(v) \leq d$; $\text{codeg}(v, w) \leq \delta \deg(v)$; $|E| = l$.

(Nibble # 1). Choose random edges e_1, \dots, e_{n_1} . WHP, they are disjoint. Denote $W_1 = V \setminus \{e_1 \cup \dots \cup e_{n_1}\}$. For all $v \in V$,

$$\begin{aligned}\mathbb{P}(v \in W_1) &= \prod_{i=1}^{n_1} (1 - \mathbb{P}(v \in e_i)) \\ &\sim \exp\left(-\sum_{i=1}^{n_1} \mathbb{P}(v \in e_i)\right) = \exp\left(-\frac{n_1 \deg(v)}{l}\right) =: P_1(v).\end{aligned}$$

Note: $\deg(v)$ may be highly variable, hence so is $P_1(v)$. However, we have a universal lower bound on $P_1(v)$ from the upper bound on $\deg(v)$. $\mathbb{P}(v \in W_1) \sim \exp(-\frac{n_1 \deg(v)}{l}) =: P_1(v)$. Hence

$$\mathbb{E}|W_1| = \sum_{v \in V} P_1(v).$$

(Nibble # 2). Choose random edges $e_{n_1+1}, \dots, e_{n_1+n_2} \subset W_1$, but **not with identical distribution**. Choose $e_i = e$ with probability

Main Theorem

$\exists C_0$ s.t. for $D, r \geq 1, 0 < \kappa < \frac{1}{2}, m \geq 0, n_i$ arbitrary,

$$0 < \delta \leq \left(\frac{\kappa^{2rm+1}}{C_0 \exp\{D(2rm+1)\}} \right)^{10^{m+2}},$$

and the hypergraph satisfies

- 1 $|e| \leq r$ for all $e \in E$;
- 2 $\deg(v) \leq \frac{\delta l}{\sqrt{\min(n_i)}}$ for all $v \in V$;
- 3 $\text{codeg}(v, w) \leq \frac{\delta l}{\min(n_i)}$ for $v \neq w$;
- 4 $\frac{n_i \deg(v)}{lP_i(v)} \leq D$ for $1 \leq i \leq m; P_m(v) \geq \kappa$ ($v \in V$);

Then there are edges $e_1, \dots, e_N \in E, N \leq n_1 + \dots + n_m$, so that

$$|V \setminus (e_1 \cup \dots \cup e_N)| \ll \sum_{v \in V} P_m(v)$$

Near perfect coverings

Corollary. Let $H = (V, E)$ be a hypergraph satisfying

- 1 $|e| = O(1)$ for all $e \in E$;
- 2 $d \leq \deg(v) \leq O(d)$, with $d = o(|E|)$, $d \rightarrow \infty$ as $|V| \rightarrow \infty$;
- 3 $\text{codeg}(v, w) = o(d)$ for distinct $v, w \in V$;

Then there are $e_1, \dots, e_N \in E$ with $N \leq (1 + o(1)) \frac{|E|}{d}$ and

$$|e_1 \cup \dots \cup e_N| = (1 + o(1))|V|.$$

If most vertex degrees are close to d , this is an efficient near-covering.